



ATC

АКРЕДИТАЦИОНО ТЕЛО СРБИЈЕ

# ATS RELATED BODIES RELATIONSHIP ANALYSIS

Any differences between the Serbian and English versions of this document are not intended, but if in doubt, the Serbian version should be consulted.



## 1. INTRODUCTION

Pursuant to SRPS ISO/IEC 17011:2007, clause 4.3.7, the Accreditation Body of Serbia (ATS) shall ensure that activities of the bodies related to the Accreditation Body of Serbia (related bodies) do not affect confidentiality, objectivity and impartiality of accreditations granted.

ATS shall, together with the interested parties, analyse and document its relationship with the related bodies in order to determine possible conflicts of interest which can either originate from the ATS activities or from those of the related bodies.

ATS identified the related bodies by means of the following reference documents: SRPS ISO/IEC 17011:2007; IAF/ILAC – A5:07/2012, IAF/ILAC Multi-Lateral Mutual Recognition Arrangements: Application of ISO/IEC 17011:2004; the Law on Accreditation (“Official Gazette of the RS”, No. 73/10) and the Law on Ministries (“Official Gazette of the RS”, No. 72/12).

A detailed risk analysis was carried out having in mind the risks that can jeopardise the ATS independence and impartiality given that these are related to the activities of the identified related bodies.

The following two processes were identified as critical ones having in mind the requirement laid down in cl.4.3.2 of SRPS ISO/IEC 17011:2007 related to impartiality and possible conflicts of interest:

1. Risk originating from assessment activities and
2. Risk originating from decision-making activities.

The concept of “risk” involves two basic elements:

- Likelihood of risk occurrence and
- Consequences caused by the risk.

Acceptability of the risk will depend on the correlation between these elements.

## 2. ANALYSIS METHOD USED

A method used to carry out the risk analysis is as follows: consequence of each risk was classified as low (1), medium (2) or high (3), and its occurrence likelihood level was presented as a 0-1-2-3 scale. After multiplying these numbers the risk analysis result is provided to clearly point to the level of risk.

Consequence	High (3)				
	Medium (2)				
	Low (1)				
	0				
		0	1	2	3
		Low likelihood	High Likelihood		
		 Likelihood of occurrence			



### 3. ANALYSIS RESULTS

#### 3.1 Related bodies relationship analysis: Ministry of Finance and Economy, including quality infrastructure institutions (Directorate of Measures and Precious Metals and Institute for Standardization of Serbia)

The Accreditation Body of Serbia (ATS) is an institution established by means of the Decision on Amendments of the Act on Establishment of the Accreditation Body of Serbia (“Official Gazette of the RS”, No. 14/11) for the purpose of determining competence of conformity assessment bodies. ATS is registered as a legal entity.

Pursuant to Article 4 of the Law on Ministries (“Official Journal of the RS”, No. 72/12), the Ministry of Finance and Economy (MFE) performs public administration activities that pertain, among other things, to the following: standardisation, technical regulations, **accreditation**, measures and precious metals.

Given that MFE is in charge of both accreditation activities and standardisation and metrology activities, the Institute for Standardization of Serbia (ISS) and Directorate of Measures and Precious Metals (DMDM) are related bodies in terms of the requirements of SRPS ISO/IEC 17011:2007.

Risk analysis		Related bodies relationship				Planned actions				
Process/activity within the process	Entity at risk	Risk	Risk analysis				Resp.	Actions	CAs/PAs	
Assessment performed by ATS/ Team appointment	MFE	- impartiality - possible conflict of interest	Consequence				Accreditation Departments	- ATS-PA01 - ATS-PR11 - contracts with individual assessors -declaration of absence of conflict of interest	N/A	
			3							
			2							
			1							
			0		x					
				0	1	2				3
				Likelihood of occurrence						



ATC ATS Related Bodies Relationship Analysis

Risk analysis		Related bodies relationship			Planned actions																																					
Process/activity within the process	Entity at risk	Risk	Risk analysis		Resp.	Actions	CAs/PAs																																			
Accreditation decision-making/ Establishment of the Accreditation Committee	MFE	- impartiality - possible conflict of interest	<table border="1"> <tr><td colspan="5">Consequence</td></tr> <tr><td>2</td><td></td><td></td><td></td><td></td></tr> <tr><td>1</td><td></td><td></td><td></td><td></td></tr> <tr><td>0</td><td></td><td>x</td><td></td><td></td></tr> <tr><td></td><td>0</td><td>1</td><td>2</td><td>3</td></tr> <tr><td colspan="5">Likelihood of occurrence</td></tr> </table>		Consequence					2					1					0		x				0	1	2	3	Likelihood of occurrence					Assistant Director	- ATS-PA01 - ATS-PR15 - contracts with individual experts participating in decision-making - declaration of absence of conflict of interest	N/A					
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Assessment performed by ATS/ Team appointment	DMDM	- impartiality - possible conflict of interest	<table border="1"> <tr><td colspan="5">Consequence</td></tr> <tr><td>3</td><td></td><td></td><td></td><td></td></tr> <tr><td>2</td><td></td><td></td><td></td><td></td></tr> <tr><td>1</td><td></td><td></td><td></td><td></td></tr> <tr><td>0</td><td></td><td>x</td><td></td><td></td></tr> <tr><td></td><td>0</td><td>1</td><td>2</td><td>3</td></tr> <tr><td colspan="5">Likelihood of occurrence</td></tr> </table>		Consequence					3					2					1					0		x				0	1	2	3	Likelihood of occurrence					Accreditation Departments	- ATS-PA01 - ATS-PR11 - contracts with individual assessors - declaration of absence of conflict of interest	N/A
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Assessment performed by ATS/ Team appointment	ISS	- impartiality - possible conflict of interest	<table border="1"> <tr><td colspan="5">Consequence</td></tr> <tr><td>3</td><td></td><td></td><td></td><td></td></tr> <tr><td>2</td><td></td><td></td><td></td><td></td></tr> <tr><td>1</td><td></td><td></td><td></td><td></td></tr> <tr><td>0</td><td></td><td>x</td><td></td><td></td></tr> <tr><td></td><td>0</td><td>1</td><td>2</td><td>3</td></tr> <tr><td colspan="5">Likelihood of occurrence</td></tr> </table>		Consequence					3					2					1					0		x				0	1	2	3	Likelihood of occurrence					Accreditation Departments	- ATS-PA01 - ATS-PR11 - contracts with individual assessors - declaration of absence of conflict of interest	N/A
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ATC ATS Related Bodies Relationship Analysis

Risk analysis		Related bodies relationship			Planned actions					
Process/activity within the process	Entity at risk	Risk	Risk analysis		Resp	Actions	CAs/PAs			
Accreditation decision-making/ Establishment of the Accreditation Committee	ISS	- impartiality - possible conflict of interest	Consequence				Assistant Director	- ATS-PA01 - ATS-PR15 - contracts with individual experts participating in decision-making - declaration of absence of conflict of interest	N/A	
			3							
			2							
			1							
			0		x					
	0	1	2	3	Likelihood of occurrence					

It can be concluded, on the basis of the results as shown in the Table above and having in mind the principle defined under cl. 2 of this Analysis document, that there is no risk to impartiality or potential conflict of interest.



Having in mind the scope of work (activities) of the Ministry of Finance and Economy and two institutions under its jurisdiction, and on the basis of the relevant laws and presented analysis, the following conclusions can be reached:

1. Ministry of Finance and Economy (MFE)

- MFE does not perform conformity assessment and/or consultancy activities;
- MFE employees are different from those participating in accreditation decision-making process, and
- MFE cannot affect the outcome of the accreditation assessment.

2. Institute for Standardization of Serbia (ISS)

- ISS does not perform conformity assessment and/or consultancy activities;
- ISS employees are different from those participating in accreditation decision-making process, and
- ISS cannot affect the outcome of the accreditation assessment.

3. Directorate of Measures and Precious Metals (DMDM)

- DMDM does not perform consultancy activities, but “it performs assessment of conformity of measuring instruments with metrological requirements” (Article 7, point 8 of the Law on Metrology, Official Gazette of the RS, No 30/10);

Given that DMDM has two accredited laboratories (one testing laboratory and one calibration laboratory) the following facts were established:

- DMDM and ATS do not have the same top management;
- DMDM’s employees are different from those participating in accreditation decision-making process;
- DMDM cannot affect the outcome of the accreditation assessment, and
- DMDM has a clearly different name, logotype and symbols.

When performing accreditation activities ATS does not conclude assessment contracts with the DMDM as a separate entity.



### 3.2 Related bodies relationship analysis – conformity assessment bodies established by the Government of the Republic of Serbia

The Accreditation Body of Serbia identified the following conformity assessment bodies\* established by the Government of the Republic of Serbia as related bodies in terms of the requirements of SRPS ISO/IEC 17011:2007: Ministry of Defence (e.g. Military Quality Control, TOC, VTI, VMA); Ministry of Education, Science and Technological Development (e.g. accredited conformity assessment bodies that are part of state universities, INS “Vinča”); Ministry of Health (e.g. Clinical Centre of Serbia and institutes of public health); Ministry of Agriculture, Forestry and Water Management (e.g. veterinary institutes); Ministry of Energy, Environmental Development and Protection (e.g. Environmental Protection Agency).

Risk analysis		Related bodies relationship			Planned actions mepa		
Process/activity within the process	Entity at risk	Risk	Risk analysis		Resp.	Actions	CAs/PAs
Assessment performed by ATS/ Team appointment	CAB*	- impartiality - possible conflict of interest	Consequence		Accreditation Departments	- ATS-PA01 - ATS-PR11 - contracts with individual assessors - declaration of absence of conflict of interest	N/A
			3				
			2				
			1				
			0	x			
			0	1	2	3	
			Likelihood of occurrence				
Accreditation decision-making/ Establishment of the Accreditation Committee	CAB*	- impartiality - possible conflict of interest	Consequence		Assistant Director	- ATS-PA01 - ATS-PR15 - contracts with individual experts participating in decision-making - declaration of absence of conflict of interest	N/A
			3				
			2				
			1				
			0	x			
			0	1	2	3	
			Likelihood of occurrence				

It can be concluded, on the basis of the results as shown in the Table above and having in mind the principle defined under cl. 2 of this Analysis document, that there is no risk to impartiality or potential conflict of interest.



Having in mind the scope of work (activities) of the said conformity assessment bodies, and presented analyses, the following conclusions can be reached:

- they do not have the same top management;
- they have employees different from those participating in accreditation decision-making process;
- they cannot affect the outcome of accreditation assessment, and
- they have a clearly different name, logotype and symbols.





**3.3 Related bodies relationship analysis – accredited conformity assessment bodies the employees of which are members of the ATS Management Board and Accreditation Council**

Pursuant to the Decision on Amendments of the Act on Establishment of the Accreditation Board of Serbia (Decision) and having in mind fulfilment of cl. 4.2.5 of SRPS ISO/IEC 17011:2007 and cl. 4.3.2 of SRPS ISO/IEC 17011:2007, members of the ATS Management Board and Accreditation Council were appointed.

Pursuant to Article 15 of the Decision, the Management Board passes the Work Programme that includes the Financial Plan, adopts the ATS Annual Report and Financial Report, makes decisions on the membership in international organisations, attainment of bilateral and multilateral cooperation with other accreditation bodies, i.e. regional and international organisations for accreditation. Pursuant to Article 15 of the Decision, two members of the Management Board were appointed as proposed by the association of accredited conformity assessment bodies from accredited conformity assessment bodies.

Pursuant to Article 29 of the Decision, the Accreditation Council provides its opinion as regards the development of the accreditation system in the Republic of Serbia, provides its opinion as regards the Rules of Accreditation, takes initiatives to extend the scope of the ATS activities, i.e. to establish new accreditation schemes. Pursuant to Article 28 of the Decision, the Accreditation Council is composed of representatives of public administration bodies and holders of public authorisations, faculties and institutes, companies, entrepreneurs, chambers, etc., consumer organisations, manufacturers organisations, and accredited conformity assessment bodies.

Risk analysis		Related bodies relationship				Planned actions				
Process/activity within the process	Entity at risk	Risk	Risk analysis				Resp.	Actions	CAs/PAs	
Assessment performed by ATS/ Team appointment	MB	- impartiality - possible conflict of interest	Consequence				Accreditation Departments	- Article 15 of the Decision on Amendments of the Act on Establishment of ATS - ATS-PA01 - ATS-PK01	N/A	
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	0	1	2	3	Likelihood of occurrence					



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Risk analysis		Related bodies relationship				Planned actions																																						
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Accreditation decision-making/ Establishment of the Accreditation Committee	MB	- impartiality - possible conflict of interest	<table border="1"> <tr><td colspan="5">Consequence</td></tr> <tr><td>3</td><td></td><td></td><td></td><td></td></tr> <tr><td>2</td><td></td><td></td><td></td><td></td></tr> <tr><td>1</td><td></td><td></td><td></td><td></td></tr> <tr><td>0</td><td></td><td>x</td><td></td><td></td></tr> <tr><td></td><td>0</td><td>1</td><td>2</td><td>3</td></tr> <tr><td colspan="5">Likelihood of occurrence</td></tr> </table>				Consequence					3					2					1					0		x				0	1	2	3	Likelihood of occurrence					Assistant Director	- Article 15 of the Decision on Amendments of the Act on Establishment of ATS - ATS-PA01 - ATS-PK01	N/A
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It can be concluded, on the basis of the results as shown in the Table above and having in mind the principle defined under cl. 2 of this Analysis document, that there is no risk to impartiality or potential conflict of interest.



Having in mind the presented risk analysis results it can be concluded that members of the Management Board and Accreditation Council cannot affect accreditation decision-making, contractual arrangements and transfer of competences to individuals since these fall within the area of competence of the ATS Director.

#### **4. CONCLUSION**

Having in mind the presented risk analysis results related to the activities of related bodies, ATS concludes that impartiality of the Accreditation Body of Serbia cannot be affected and that possible conflict of interest could not occur. ATS undertook adequate and documented actions that it implements fully in order to safeguard its impartiality.

There were no significant changes when compared with the analysis carried out on 10<sup>th</sup> June 2011.